

# FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



# Annual Return (other than OPCs and Small Companies)

Form language  English  Hindi

Refer the instruction kit for filing the form.

## I. REGISTRATION AND OTHER DETAILS

(i) \* Corporate Identification Number (CIN) of the company

L85110KA1924PLC001128

Pre-fill

Global Location Number (GLN) of the company

\* Permanent Account Number (PAN) of the company

AABCT5589K

(ii) (a) Name of the company

THE KARNATAKA BANK LIMITED

(b) Registered office address

KARNATAKA BANK LIMITED,REGD. AND HEAD OFFICE  
P.B.NO.599,MAHAVEERA CIRCLE  
KANKANADY MANGALORE  
Karnataka  
575002

(c) \*e-mail ID of the company

comsec@kttkbank.com

(d) \*Telephone number with STD code

08242228222

(e) Website

https://karnatakabank.com/

(iii) Date of Incorporation

18/02/1924

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

Yes  No

(vi) \*Whether shares listed on recognized Stock Exchange(s)

Yes  No

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
1	BSE LIMITED	1
2	NATIONAL STOCK EXCHANGE OF INDIA LIMITED	1,024

(b) CIN of the Registrar and Transfer Agent

U74900TN2015PTC101466

Pre-fill

Name of the Registrar and Transfer Agent

INTEGRATED REGISTRY MANAGEMENT SERVICES PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

2nd Floor Kences Towers ,1 Ramakrishna Street  
North Usman Road T Nagar

(vii) \*Financial year From date 01/04/2020 (DD/MM/YYYY) To date 31/03/2021 (DD/MM/YYYY)

(viii) \*Whether Annual general meeting (AGM) held  Yes  No

(a) If yes, date of AGM

(b) Due date of AGM

30/09/2021

(c) Whether any extension for AGM granted

Yes  No

## II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

\*Number of business activities

1

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K1	Banking activities by Central, Commercial and Saving banks	100

## III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

\*No. of Companies for which information is to be given

1

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	KBL SERVICES LIMITED	U74900KA2020PLC135108	Subsidiary	100

## IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) \*SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	600,000,000	310,998,210	310,886,239	310,869,689
Total amount of equity shares (in Rupees)	6,000,000,000	3,109,982,100	3,108,862,390	3,108,696,890

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of equity shares	600,000,000	310,998,210	310,886,239	310,869,689
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	6,000,000,000	3,109,982,100	3,108,862,390	3,108,696,890

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
	Physical	DEMAT	Total			
<b>Equity shares</b>						
At the beginning of the year	14,397,558	296,472,131	310869689	3,108,696,8	3,108,696,8	

<b>Increase during the year</b>	0	0	0	0	0	0
i. Public Issues	0	0	0	0	0	
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0	0	0
v. ESOPs	0	0	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify						
<b>Decrease during the year</b>	0	0	0	0	0	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify						
<b>At the end of the year</b>	14,397,558	296,472,131	310869689	3,108,696,8	3,108,696,8	
<b>Preference shares</b>						
<b>At the beginning of the year</b>	0	0	0	0	0	
<b>Increase during the year</b>	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify						
<b>Decrease during the year</b>	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0

ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify						
<input type="text"/>						
<b>At the end of the year</b>	0	0	0	0	0	

ISIN of the equity shares of the company

(ii) Details of stock split/consolidation during the year (for each class of shares)

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) \*

Nil

[Details being provided in a CD/Digital Media]

Yes  No  Not Applicable

Separate sheet attached for details of transfers

Yes  No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting	<input type="text"/>
Date of registration of transfer (Date Month Year)	<input type="text"/>
Type of transfer	<input type="text"/> 1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
Number of Shares/ Debentures/ Units Transferred	<input type="text"/> Amount per Share/ Debenture/Unit (in Rs.) <input type="text"/>

Ledger Folio of Transferor				<input type="text"/>		
Transferor's Name	<input type="text"/>		<input type="text"/>		<input type="text"/>	
	Surname		middle name		first name	
Ledger Folio of Transferee				<input type="text"/>		
Transferee's Name	<input type="text"/>		<input type="text"/>		<input type="text"/>	
	Surname		middle name		first name	

Date of registration of transfer (Date Month Year)				<input type="text"/>		
Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock				
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>		Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>		
Ledger Folio of Transferor				<input type="text"/>		
Transferor's Name	<input type="text"/>		<input type="text"/>		<input type="text"/>	
	Surname		middle name		first name	
Ledger Folio of Transferee				<input type="text"/>		
Transferee's Name	<input type="text"/>		<input type="text"/>		<input type="text"/>	
	Surname		middle name		first name	

**(iv) \*Debentures (Outstanding as at the end of financial year)**

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	97,000	100000	9,700,000,000
Partly convertible debentures	0		0
Fully convertible debentures	0		0

Particulars	Number of units	Nominal value per unit	Total value
<b>Total</b>			9,700,000,000

**Details of debentures**

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
<b>Non-convertible debentures</b>	9,700,000,000	0	0	9,700,000,000
<b>Partly convertible debentures</b>	0	0	0	0
<b>Fully convertible debentures</b>	0	0	0	0

**(v) Securities (other than shares and debentures)**

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
<b>Total</b>					

**V. \*Turnover and net worth of the company (as defined in the Companies Act, 2013)**

**(i) Turnover**

1,273,485,588,240.47

**(ii) Net worth of the Company**

61,770,185,638.4

**VI. (a) \*SHARE HOLDING PATTERN - Promoters**

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	

3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	0	0	0	
10.	Others	0	0	0	
	<b>Total</b>	0	0	0	0

**Total number of shareholders (promoters)**

0

**(b) \*SHARE HOLDING PATTERN - Public/Other than promoters**

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	236,943,401	76.22	0	
	(ii) Non-resident Indian (NRI)	10,112,446	3.25	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	17,524,428	5.64	0	
4.	Banks	9,174	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	29,219,617	9.4	0	
7.	Mutual funds	645,035	0.21	0	





Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held

**VII. \*NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS**  
(Details, Promoters, Members (other than promoters), Debenture holders)

Details	At the beginning of the year	At the end of the year
Promoters	0	0
Members (other than promoters)	198,891	225,478
Debenture holders	3,733	4,755

**VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

**(A) \*Composition of Board of Directors**

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
<b>A. Promoter</b>	0	0	0	0	0	0
<b>B. Non-Promoter</b>	1	8	1	9	0	0
(i) Non-Independent	1	2	1	2	0	0
(ii) Independent	0	6	0	7	0	0
<b>C. Nominee Directors representing</b>	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0

(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	1	8	1	9	0	0

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date 12

**(B) (i) \*Details of directors and Key managerial personnel as on the closure of financial year**

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
P JAYARAMA BHAT	00041500	Director	6,599	
MAVINAKUDIGE SUBR +	07645317	Managing Director	17,178	
RAMMOHAN RAO BEL +	02370794	Director	392	
KESHAV K DESAI	07427621	Director	11,000	
D SURENDRA KUMAR	00234305	Director	19,427	30/05/2021
MYTHILY RAMESH	06959991	Director	165	
BALEBAIL RAJAGOPA +	00415934	Director	1,650	
ARAKALGUD VENKAT, +	08829073	Director	500	
PRADEEP KUMAR PAN +	03614568	Director	3,410	
UMA SHANKAR	07165728	Director	1,000	
MURALIDHAR KRISHN +	AECPR7140G	CFO	1,216	
PRASANNA VISHNU P. +	AJSP3500D	Company Secretar	110	

**(ii) Particulars of change in director(s) and Key managerial personnel during the year**

5

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
ASHOK HARANAHALL	05339634	Director	13/09/2020	CESSATION
ARAKALGUD VENKAT.	08829073	Director	19/08/2020	APPOINTMENT
PRADEEP KUMAR PAM	03614568	Director	19/08/2020	APPOINTMENT
UMA SHANKAR	07165728	Director	01/11/2020	APPOINTMENT
U R BHAT	00008425	Director	18/02/2021	CESSATION

## IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

### A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
ANNUAL GENERAL MEETI	17/07/2020	219,098	376	0.17

### B. BOARD MEETINGS

\*Number of meetings held

15

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	11/04/2020	9	8	88.89
2	24/04/2020	9	9	100
3	06/06/2020	9	9	100
4	30/06/2020	9	9	100
5	10/07/2020	9	9	100
6	19/08/2020	9	9	100
7	11/09/2020	11	11	100
8	13/10/2020	10	10	100

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
9	21/11/2020	11	11	100
10	21/12/2020	11	11	100
11	12/01/2021	11	11	100
12	16/02/2021	11	11	100

### C. COMMITTEE MEETINGS

Number of meetings held

44

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	06/06/2020	6	6	100
2	Audit Committee	24/06/2020	6	6	100
3	Audit Committee	09/07/2020	6	6	100
4	Audit Committee	27/08/2020	6	6	100
5	Audit Committee	07/09/2020	6	6	100
6	Audit Committee	12/10/2020	6	6	100
7	Audit Committee	23/11/2020	7	7	100
8	Audit Committee	23/12/2020	7	7	100
9	Audit Committee	11/01/2021	7	7	100
10	Audit Committee	08/03/2021	6	6	100

### D. \* ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on (Y/N/NA)
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	
1	P JAYARAMA	15	15	100	33	33	100	
2	MAVINAKUDI	15	15	100	29	29	100	

3	RAMMOHAN	15	15	100	28	28	100	
4	KESHAV K DE	15	15	100	17	17	100	
5	D SURENDRA	15	14	93.33	15	15	100	
6	MYTHILY RAM	15	15	100	21	21	100	
7	BALEBAIL RA	15	15	100	26	26	100	
8	ARAKALGUD	9	9	100	6	6	100	
9	PRADEEP KU	9	9	100	16	16	100	
10	UMA SHANKA	7	7	100	9	9	100	

**X. \*REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	MAVINAKUDIGESU	MANAGING DIR	9,634,800			2,100,000	11,734,800
	Total		9,634,800	0		2,100,000	11,734,800

Number of CEO, CFO and Company secretary whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	MURALIDHAR KRIS	CFO	2,782,764				2,782,764
2	PRASANNA VISHN	Company Secre	2,171,667			300,841	2,472,508
	Total		4,954,431	0		300,841	5,255,272

Number of other directors whose remuneration details to be entered

11

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	P JAYARAMA BHA	DIRECTOR	1,200,000			2,150,000	3,350,000
2	ASHOK HARANAHA	DIRECTOR				520,000	520,000
3	RAMMOHAN RAO	DIRECTOR				2,020,000	2,020,000
4	U R BHAT	DIRECTOR				1,610,000	1,610,000
5	KESHAV K DESAI	DIRECTOR				1,490,000	1,490,000

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
6	D SURENDRA KUMAR	DIRECTOR				1,330,000	1,330,000
7	MYTHILY RAMESH	DIRECTOR				1,650,000	1,650,000
8	BALEBAIL RAJAGOPAL	DIRECTOR				1,920,000	1,920,000
9	ARAKALGUD VENKAT	DIRECTOR				690,000	690,000
10	PRADEEP KUMAR	DIRECTOR				1,170,000	1,170,000
11	UMA SHANKAR	DIRECTOR				710,000	710,000
	Total		1,200,000			15,260,000	16,460,000

#### XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

\* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year  Yes  No

B. If No, give reasons/observations

#### XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS  Nil

0

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES  Nil

0

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

#### XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes  No

(In case of 'No', submit the details separately through the method specified in instruction kit)

#### XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Ullas Kumar Melinamogaru

Whether associate or fellow

Associate  Fellow

Certificate of practice number

6640

#### I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.  
(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

#### Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ...  dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

**Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.**

#### To be digitally signed by

Director

DIN of the director

#### To be digitally signed by

Company Secretary

Company secretary in practice

Membership number

Certificate of practice number



**Attachments**

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachement(s), if any

**List of attachments**

<b>Attach</b>
<b>Attach</b>
<b>Attach</b>
<b>Attach</b>

MGT-8.pdf
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<b>Remove attachment</b>
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Modify
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Check Form
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Prescrutiny
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Submit
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**This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company**

**CS Ullas Kumar Melinamogaru**

B.Com., F.C.M.A., F.C.S.

Practising Company Secretary

Cell : 9449811210

Tel : 0824 - 2448079(O), 2444130(R)

Email : ullas3@rediffmail.com

ullas53@gmail.com

***Ullas Kumar Melinamogaru & Associates***

Practising Company Secretaries

No.3, V Floor, Ashirvad Building, Ganapathy High School Road, Mangaluru – 575001

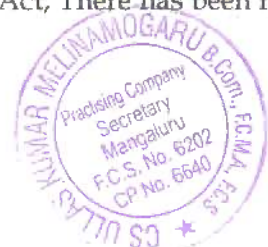
Form No. MGT-8

[Pursuant to section 92(2) of the Companies Act, 2013 and rule 11(2) of Companies (Management and Administration) Rules, 2014]

**CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE**

I have examined the registers, records and books and papers of **The Karnataka Bank Limited** (the company) (CIN: L85110KA1924PLC001128) as required to be maintained under the Companies Act, 2013 (the Act) and the rules made there under for the financial year ended on **31<sup>st</sup> March, 2021**. In my opinion and to the best of my information, knowledge and according to the examinations carried out by me and explanations furnished to me by the company, its officers and agents, I certify that:

- A. The Annual Return states the facts, as at the close of the aforesaid financial year, correctly and adequately.
- B. During the aforesaid financial year, the Company has complied with provisions of the Act & Rules made there under in respect of:
  1. Its status under the Act and the Banking Regulation Act, 1949 in so far as overrules the provision of the Companies Act, 2013;
  2. Maintenance of registers/records & making entries therein within the time prescribed thereof;
  3. Filing of forms and returns as stated in the annual return, with the Registrar of Companies within the prescribed time;
  4. Calling/convening/holding meetings of Board of Directors or its committees and the meetings of the members of the company on due dates as stated in the annual return in respect of which meetings, proper notices were given and the proceedings including the circular resolutions have been properly recorded in the Minute Book and the same have been signed;
  5. Closure of Register of Members / Security holders, as the case may be;
  6. Transfer or transmission of securities/ redemption of debentures/ alteration of share capital and issue of share certificates in various instances; There has been no Issue or allotment of securities during the year under review.
  7. Keeping in abeyance the rights to dividend, rights shares pending registration of transfer of shares in compliance with the provisions of the Act;
  8. Transfer of unpaid/unclaimed dividend/other amounts as applicable to the Investor Education and Protection Fund in accordance with section 125 of the Act; There has been no



**CS Ullas Kumar Melinamogaru**

B.Com., F.C.M.A., F.C.S.

Practising Company Secretary

Cell : 9449811210

Tel : 0824 - 2448079(O), 2444130(R)

Email : ullas3@rediffmail.com  
ullas53@gmail.com

***Ullas Kumar Melinamogaru & Associates***

Practising Company Secretaries

No.3, V Floor, Ashirvad Building, Ganapathy High School Road, Mangaluru – 575001

Declaration/ payment of dividend during the year under review;

9. Signing of audited financial statement as per the provisions of section 134 of the Act and report of directors is as per sub - sections (3), (4) and (5) thereof;
10. Constitution/appointment/re-appointments/ retirement/ filling up casual vacancies/ disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them in accordance with the Banking Regulation Act, 1949;
11. Appointment/ reappointment/ filling up casual vacancies of auditors as per the provisions of section 139 of the Act and in accordance with the Banking Regulation Act, 1949;
12. Alterations of the provisions of the Memorandum of Association of the Company are in accordance with the section 13 of the Companies Act, 2013.

Signature:



02.08.2021

Place: Mangaluru

Date : 02.08.2021

Name of the Company Secretary in practice:

CS Ullas Kumar Melinamogaru

FCS: 6202 C.P. NO.:6640

UDIN: F006202C000720410

CS ULLAS KUMAR MELINAMOGU

B.Com., F.C.M.A., F.C.S.

Practising Company Secretary

FCS No. 6202, CP No. 6640

No.3, V Floor, Ashirvad Building,  
G.H.S. Road, Mangaluru - 575 001.

**IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY****(d) Break-up of paid-up share capital**

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
	Physical	DEMAT	Total			
Equity shares						
At the beginning of the year	1,49,26,984	29,59,42,705	31,08,69,689	3,10,86,96,890	3,10,86,96,890	
Increase during the year						
On Account of Conversion of Physical shares into Demat	-	5,29,426				
Decrease during the year						
On Account of Conversion of Physical shares into Demat	(5,29,426)	-				
At the end of the year	1,43,97,558	29,64,72,131	31,08,69,689	3,10,86,96,890	3,10,86,96,890	

**IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY**

**(iv)\*Debtures (Outstanding as at the end of financial year)**

Particulars	Number of units	Nominal value per Unit (₹.)	Total value (₹.)
Non-convertible debtures ( Series IV )	2,500	10,00,000	250,00,00,000
Non-convertible debtures ( Series V )	40,000	1,00,000	400,00,00,000
Non-convertible debtures ( Series VI )	32,000	1,00,000	320,00,00,000
Partly convertible debtures	0	0	0
Fully convertible debtures	0	0	0
<b>Total</b>	<b>74,500</b>		<b>9,70,00,00,000</b>

**Serial No. IX-B- Board Meetings:****Number of Board Meetings Held: 15**

SI No.	Date of the meeting	Total Number of Directors as on date of meeting	Attendance	
			Number of directors attended	% of attendance
1.	11.04.2020	9	8	88.89
2.	24.04.2020	9	9	100
3.	06.06.2020	9	9	100
4.	30.06.2020	9	9	100
5.	10.07.2020	9	9	100
6.	19.08.2020	9	9	100
7.	11.09.2020	11	11	100
8.	13.10.2020	10	10	100
9.	21.11.2020	11	11	100
10.	21.12.2020	11	11	100
11.	12.01.2021	11	11	100
12.	16.02.2021	11	11	100
13.	22.03.2021	10	10	100
14.	23.03.2021	10	10	100
15.	24.03.2021	10	10	100

**Serial No. IX-C- Committee Meetings:****Number of meetings Held: 44**

Sl No.	Type of meeting	Date of the meeting	Total Number of Members as on date of meeting	Attendance	
				Number of members attended	% of attendance
1.	Audit Committee	06.06.2020	6	6	100
2.		24.06.2020	6	6	100
3.		09.07.2020	6	6	100
4.		27.08.2020	6	6	100
5.		07.09.2020	6	6	100
6.		12.10.2020	6	6	100
7.		23.11.2020	7	7	100
8.		23.12.2020	7	7	100
9.		11.01.2021	7	7	100
10.		08.03.2021	6	6	100
11.		23.03.2021	6	6	100
12.	Management Committee (Erstwhile Executive Committee)	28.05.2020	4	4	100
13.		26.06.2020	4	4	100
14.		12.08.2020	4	4	100
15.		25.09.2020	4	4	100
16.		25.11.2020	4	4	100
17.		30.12.2020	4	4	100
18.		30.01.2021	4	4	100
19.		20.03.2021	4	4	100
20.	Stakeholders & Customer Relations Committee (SCRC)	23.06.2020	4	4	100
21.		12.08.2020	4	4	100
22.		30.11.2020	5	5	100
23.		10.03.2021	5	5	100
24.	Risk & Capital Management Committee (RCMC)	24.06.2020	5	5	100
25.		27.08.2020	5	5	100
26.		17.11.2020	5	5	100
27.		09.03.2021	5	5	100
28.	Special Committee for Monitoring and Investigation of Large Value Frauds.	30.04.2020	5	5	100
29.		05.06.2020	5	5	100
30.		18.08.2020	5	5	100
31.		09.10.2020	5	5	100
32.		29.12.2020	5	5	100
33.		19.02.2021	5	5	100
34.	Review Committee For Identification Of Non-Co-Operative Borrower & Wilful Defaulter	26.11.2020	4	4	100

35.	IT Strategy & Governance Committee	14.05.2020	4	4	100
36.		18.08.2020	4	4	100
37.		26.11.2020	4	4	100
38.		09.03.2021	4	4	100
39.	Corporate Social Responsibility (CSR) Committee	23.06.2020	4	4	100
40.		20.02.2021	4	4	100
41.	Nomination & Remuneration Committee (NRC)	24.04.2020	5	5	100
42.		12.08.2020	5	5	100
43.		03.10.2020	5	5	100
44.		15.02.2021	5	5	100